



MAIL STOP PATENT
Attorney Docket No. 25447-A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

TAUB et al.

Serial No.: 10/752,410

Filed: January 7, 2004

Group Art Unit: Not yet assigned

Title: **PLACING AN ORTHODONTIC ELEMENT ON A TOOTH SURFACE**

TRANSMITTAL LETTER

The Commissioner for Patents
Alexandria, Virginia 22313-1450

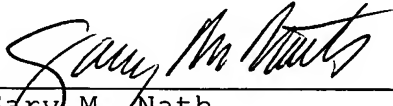
Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

- (1) Transmittal Letter
- (2) Information Disclosure Statement
- (3) Form PTO-1449

Respectfully submitted,

NATH & ASSOCIATES PLLC

By: 

Gary M. Nath
Registration No. 26,965
Marvin C. Berkowitz
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Date: March 9, 2004
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Title: **PLACING AN ORTHODONTIC ELEMENT ON A TOOTH SURFACE****INFORMATION DISCLOSURE STATEMENT**Commissioner of Patents
Alexandria, Virginia 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. §1.97-1.98. Please note the following particulars:

[NOTE: One only of items a, b, c, and d must be checked.]

- ☒ a. The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.
- ☐ b. The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311.

The enclosed statement is accompanied by [check one]:


- ☐ i. a certification in part (e) below as specified in 37 C.F.R. §1.97(e), or
- ☐ ii. a check in the amount required by 37 C.F.R. §1.17(p).
- ☐ c. The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. §1.113, or a notice of allowance under 37 C.F.R. §1.311, but before payment of the issue fee.
- ☐ Certification report(e) below; and
- ☐ a check in the amount as required by §1.17(p).
- ☐ d. The enclosed statement is being filed pursuant to 37 C.F.R. §1.97(i), for placement in the file.
- ☐ e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]

- ☐ I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,
- or
- ☐ I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.
- or
- ☐ Appropriate certification is attached.
- ☒ f. If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.
- ☐ g. Copies of the documents are attached herewith with a completed Form PTO-1449.
- or
- ☒ Copies of the documents are not attached as allowed under CFR 1.98(d)(1)(2). The earlier application is identified as:
- U.S. Patent Application No. 09/940,742, filed August 28, 2001, which has been issued as U.S. Patent No. 6,695,613 on February 24, 2004.

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,
NATH & ASSOCIATES PLLC

By: 
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Registration No. 26,965
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Date: March 9, 2004
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FORM PTO-1449

INFORMATION DISCLOSURE CITATION

Atty Docket
25447-ASerial No.
10/752,410

Applicant

TAUB, et al.

Filing Date
Jan. 7, 2004Group Art Unit
Not yet assigned

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Issue Date	Name	Class	Sub-Class	Filing Date
	AA	5,448,472	Sep. 5, 1995	Mushabac			Nov. 14, 1990
	AA	4,850,864	July 25, 1989	Diamond			Mar. 30, 1987
	AC	5,290,168	Mar. 1, 1994	Cooper			Feb. 19, 1992
	AD	5,587,284	Dec. 24, 1996	Brattesani			May 11, 1995
	AE	6,123,544	Sep. 26, 2000	Cleary			Dec. 18, 1998
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Sub-Class	Translation
	AL	2,656,215	June 28, 1991	FR			
	AM	WO 90/08512	Aug. 9, 1990	WIPO			
	AN						
	AO						
	AP						

OTHER (Including Author, Title, Date, Pertinent Pages, etc.)

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Examiner

Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609.
 Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to Applicant.